Through 11/11/98 Fees

Case Docket No. G-85 [6-41]

| In re  | applicat       | ion of:                                | Herve Gtaus                                      | et PL | JC,        |                    |                         |           | LECH.                             | 22                                       |         |
|--|----------------|--|--|-------|------------|--------------------|-------------------------|-----------|-----------------------------------|--|---------|
| Serial   | No.:           | 09/202                                 | 2,424  | 6     | MIL        |                    |                         |           | 401                               |  | રે<br>ત |
| Filed:   | 12             | /10/98                                 |  | NIN   | 9.1        | <b>(5)</b>         |                         |           | ex c                              | る  | Z       |
| For:   | Security       | Procedure                              | e for  | PATE  | NT & TRADE | K                  |                         |           |                                   |  | O       |
|  |                |  | MISSIONER FOR                                    |       | S          |                    |                         |           |                                   | R 280                                    |         |
| In re application of: Herve Gtaus et PLE Cook Serial No.: 09/202,424  Filed: 12/10/98  For: Security Procedure for   |                |  |  |       |            |                    |                         |           |                                   |  |         |
| [] Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.  [] A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.  No additional fee is required.  OTHER THAN A   |                |  |  |       |            |                    |                         |           |                                   |  |         |
|  | R              | Col. 1) CLAIMS EMAINING AFTER MENDMENT | (Col. 2)<br>HIGHEST NO<br>PREVIOUSLY<br>PAID FOR |       | NT         | SMALL<br>RATE      | ENTITY<br>ADDIT.<br>FEE | <u>OR</u> | SMALL                             |  |         |
| TOTAL  | *              | MINU                                   | JS **  | *     |            | \$ 9               | \$                      | ,         | \$ 18                             | \$                                       |         |
| INDEP.   | *              | MINU                                   |  | *     | ·<br>      | \$ 39              | \$                      |           | \$ 78                             | \$                                       |         |
| [ ] 1ST PRESENTATION OF MULT: DEP. CLAIM TOWN \$130 \$260 \$ STAND OR TOTAL \$ ADDITIONAL FEE  |                |  |  |       |            |                    |                         |           |                                   |  |         |
| 37 CFR 1.136 Petition: If any extension of time, e.g. 35 USC 41(a)(8) and e.g. 37 CFR 1.136 is required for this and for any subsequent submission by applicants in this application; then petition is hereby made for such extension(s) and if a check does not accompany this transmittal letter, the Commissioner is requested, and authorized, to charge any fee(s) therefor to Deposit Account 16-2128. |                |  |  |       |            |                    |                         |           |                                   |  |         |
| [] For 1 Mo. Ext. \$55/\$110 [] For 3rd Mo. Ext. \$435/\$870 [X] For 2 Mo. Ext. \$190/\$380 [] For 4th Mo. Ext. \$680/\$1,360 [] Please charge my Deposit Account No. 16-2128 in the amount of \$  |                |  |  |       |            |                    |                         |           |                                   |  |         |
| MAY  | 3 1 20<br>Date | 02                                     |  |       | Al Al      | ty of R            | ecord e                 |           |                                   |  |         |
| Rockefo<br>P.O. Bo<br>New Yor  |                | nter Stati<br>0185                     |  |       |            | 7 v 18             |                         |           | e<br>Portugalista<br>Portugalista | igi (Alias)<br>Majori<br>Januari (Majori |         |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on the date set forth below.   |                |  |  |       |            |                    |                         |           |                                   |  |         |
|  |                |  | •  | S     | igned      | ی فنہ،<br>Roland P | lottel P                |           | 20707                             |  |         |

Date \_\_\_\_\_MAY 3 1 2002

C:\MISC\Form.ATL



## COPY OF PAPERS ORIGINALLY FILED

HIS Response

Library Procents

Company of Charles

[G-41]

Atty. Dkt. G-85 [G-41]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants :

Herve Orsus and Jean-Jacques Foglino

Serial No.

09/202,424

Confirmation No:

2885

Examiner

Mark Tremblay

Filed

12/10/98

GAU

2876

For

SECURITY PROCEDURE FOR CONTROLLING THE

TRANSFER OF VALUE UNITS IN A CHIP CARD

GAMING SYSTEM

MAY 3 1 2002

## AMENDMENT E

This is in response to the March 12, 2002 Office Action that has a term for reply to expire on June 12, 2002.

Applicant's attorney would like to thank Examiner Mark Tremblay for the courtesies of an interview on May 28, 2002. At the interview, the claims, including several independent claims, as well as the prior art, and particularly the applied Raven reference, as well as the technology were gone over in some detail.

Claim 26 was particularly focused on; the claim is directed to a gambling system in which electronic chip cards carry value and, for example, replace the use of coins or currency or tokens. The values being bet and won are electronically stored in the card. An aspect of the

Amendment E

Ser. No.: 09/202,424

Page 2

invention is that every time there is a change of value, i.e., the money has been bet and lost or the money has been bet and won, that change is recorded in both the card and in a remote database. An object of the invention is to prevent fraud. In gambling, the present system as defined is more secure than prior systems. The prior art Raven, Ref. A., does not show the storing of each change of value in both the card and the database and verification and exchange for each change of value. The use of this double entry and verification in the gambling application is new.

As discussed at the interview, there may be other applications, not in gambling, in which each change of value is recorded and verified. Reference was made at the interview to telephone cards. There is some difference, but basically the card value is changed during the course of a telephone call. The question is whether it is obvious to take non-cited art, such as telephone systems, and combine it with Raven? It is respectfully submitted that such a combination, whether from telephone or other non-gambling applications, is not anticipatory and does not show obviousness in the present invention.

Smart cards are approximately 20 years old. Value cards with electronic chips are 20 years old. They have

Amendment E

Ser. No.: 09/202,424

Page 3

been extensively used in Europe. Prior to that, value cards with magnetic stripes were used. Thus, the use of cards with values has been around for a very long time.

Gambling machines have been around for more than 20 Theft at gambling machines is significant, and secure system is essential. The advantage of using an electronic payment card as this invention uses is significant for the casino or other gambling operation. Ιt avoids having to count currency and having to transport large amounts of currency, including the weight of large quantities of coins. Applicant's attorney does not know how many times currency is counted in a non-electronic, i.e., traditional cash gambling house, but, at a fast food chain, such as MacDonald's, currency is counted 11 times between the customer paying for the food and its deposit in the Thus, with smart cards instead of currency, there is bank. a significant saving of personnel in the counting operation. there are other savings to the gaming operator in not using One reason for hesitating to go to the cards for gaming applications has been security. Applicants solution as claimed is more secure than the prior art, and may provide sufficient additional security after all these years Amendment E

Ser. No.: 09/202,424

Page 4

to permit adoption of cards more widely than before in gaming.

In summary, it is respectfully submitted that the independent claims, for example, claim 26, are not shown by the primary reference and thus is new. There has been a long felt need for more efficient and more secure gambling systems, in which the is no or less use of cash, coins or Applicant's invention is a solution to that problem. bills. Reconsideration and allowance is courteously solicited.

Respectfully submitted,

Colond Plonts

PTO 20,707

212 489-7073 Roland Plottel Rockefeller Center Stn. P.O. Box 293 New York NY 10185-0293

Certificate under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States postal service as first-class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on \_

> Roland Plottel PTO 20707